

TRIPARTITE UNIONS

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ABSTRACT. This note provides conditions under which the union of three well-founded binary relations is also well-founded.

This note concerns conditions under which the union of several well-founded (binary) relations is also well-founded.¹

To garner insight, we tackle just three relations, A , B , and C , over some underlying set V . Let

$$\{A|B\}$$

denote $A \cup B$, and so on for other unions of relations. And let juxtaposition indicate composition of relations and superscript $*$ signify transitive closure. We'll refer to the relations as “colors”.

Theorem 1 (Ramsey). *The union $\{A|B|C\}$ is well-founded if*

$$(1) \quad \{A|B|C\}\{A|B|C\} \subseteq \{A|B|C\}$$

Proof. The infinite version of Ramsey's Theorem applies when the union is transitive, so that every two (distinct) nodes within an infinite chain in the union of the colors has a colored (directed) edge. Then, there must lie an infinite monochrome subchain within any infinite chain, contradicting the well-foundedness of each color alone.² \square

Only three of the nine cases are actually needed for the limited outcome that we are seeking (an infinite monochromatic path, rather than a clique—as in Ramsey's Theorem), as we observe next.

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¹By *well-founded*, we mean the absence of infinite forward-pointing paths. For some of the history of well-foundedness based on Ramsey's Theorem, see Pierre Lescanne's *Rewriting List*, contributions 38–41 at <http://www.ens-lyon.fr/LIP/REWRITING/CONTRIBUTIONS> and Andreas Blass and Yuri Gurevich, “Program Termination and Well Partial Orderings”, *ACM Transactions on Computational Logic* **9**(3), 2008 (available at <http://research.microsoft.com/en-us/um/people/gurevich/Opera/178.pdf>).

²See Alfons Geser, *Relative Termination*, Ph.D. dissertation, Fakultät für Mathematik und Informatik, Universität Passau, Germany, 1990 (Report 91-03, Ulmer Informatik-Berichte, Universität Ulm, 1991; available at http://homepage.cs.uiowa.edu/~astump/papers/geser_dissertation.pdf).

Theorem 2. *The union $\{A|B|C\}$ is well-founded if*

$$(2) \quad BA \cup CA \cup CB \subseteq \{A|B|C\}.$$

Proof. When the union is not well-founded, there is an infinite path $X = \{x_i\}_i$ with each edge from x_i to x_{i+1} one of A , B , or C . Extract a maximal subsequence $\{x_{i_j}\}_j$ of X such that $x_{i_j} A x_{i_{j+1}}$ for each j . If it's finite, then repeat at the first opportunity in the tail. If any is infinite, we have our contradiction. If they're all finite, then consider the first occurrence of $x \{B|C\} y A z$. Since we could not take an A -step from x , or we would have, the conditions tell us that $x \{B|C\} z$. Swallowing up all such (non-initial) A -steps in this way, we are left with an infinite chain in $B \cup C$, for which we also know that no A -steps are possible anywhere. Now extract maximal B -chains and then erase them, replacing $x C y B z$ with $x C z$ (A - and B -steps having been precluded), leaving an infinite chain colored purely C . \square

Corollary 1. *If A , B , and C are transitive and*

$$BA \cup CA \cup CB \subseteq \{A|B|C\},$$

then, whenever there is an infinite path in the union $\{A|B|C\}$, there is an infinite monochromatic clique.

We can do considerably better than the previous theorem:

Theorem 3 (Tripartite). *The union $\{A|B|C\}$ is well-founded if*

$$(3) \quad \begin{aligned} \{B|C\}A &\subseteq A\{A|B|C\}^* \cup B \cup C \\ CB &\subseteq A\{A|B|C\}^* \cup BB^* \cup C. \end{aligned}$$

Let's call the existence of an infinite outgoing chain in the union $\{A|B|C\}$ *immortality*.

Proof. We first construct an infinite chain $X = \{x_i\}_i$, in which an A -step is always preferred over B or C , as long as immortality is maintained. To do this, we start with an immortal element $x_0 \in V$. At each stage in the construction, if the chain so far ends in x_i , we look to see if there is any y such that $x_i A y$ and from which proceeds some infinite chain in the union, in which case y is chosen to be x_{i+1} . Otherwise, x_{i+1} is any immortal element z such that $x_i B z$ or $x_i C z$.

If there are infinitely many B 's and/or C 's in X , use them—by means of the first condition—to remove all subsequent A -steps, leaving only B - and C -steps going out of points from which A leads of necessity to mortality. From what remains, if there is any C -step at a point where one could take one or more B -steps to anyplace later in the chain, take the latter route instead. What remains now are C -steps at points

where BB^* detours are also precluded. If there are infinitely many such C -steps, then applying the condition for CB will result in a pure C -chain, because neither $A\{A|B|C\}^*$ nor BB^* are options. \square

Dropping C from the conditions of the previous theorem, one gets the *jumping* criterion for well-foundedness of the union of two well-founded relations A and B :³

$$BA \subseteq A\{A|B\}^* \cup B.$$

Applying this criterion twice, one gets somewhat different (incomparable) conditions for well-foundedness.

Theorem 4 (Jumping). *The union $\{A|B|C\}$ is well-founded if*

$$(4) \quad \begin{aligned} BA &\subseteq A\{A|B\}^* \cup B \\ C\{A|B\} &\subseteq \{A|B\}\{A|B|C\}^* \cup C. \end{aligned}$$

Proof. The first inequality is the jumping criterion. The second is the same with C for B and $\{A|B\}$ in place of A . \square

For two relations, jumping provides a substantially weaker criterion for well-foundedness than does the appeal to Ramsey. But for three, whereas jumping allows more than one step for BA (in essence, AA^*B^*), it doesn't allow for C , which Ramsey does.

Switching rôles, start with jumping for $\{B|C\}$ before combining with A , we get slightly different conditions yet:

Theorem 5 (Jumping). *The union $\{A|B|C\}$ is well-founded if*

$$(5) \quad \begin{aligned} CB &\subseteq B\{B|C\}^* \cup C \\ \{B|C\}A &\subseteq A\{A|B|C\}^* \cup B \cup C. \end{aligned}$$

Both this version of jumping and our tripartite condition allow

$$\begin{aligned} \{B|C\}A &\subseteq A\{A|B|C\}^* \cup B \cup C \\ CB &\subseteq BB^* \cup C. \end{aligned}$$

They differ in that jumping also allows

$$CB \subseteq B\{B|C\}^*,$$

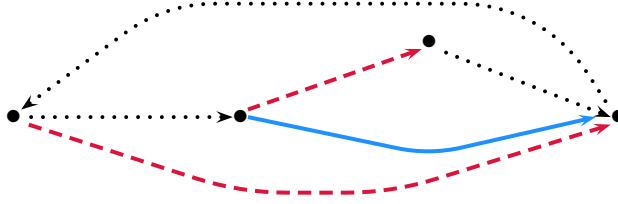
³See Henk Doornbos and Burghard von Karger, “On the Union of Well-Founded Relations”, *Logic Journal of the IGPL* **6**(2), pp. 195–201, 1998 (available at <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.28.8953&rep=rep1&type=pdf>). The property is called “jumping” in Nachum Dershowitz, “Jumping and Escaping: Modular Termination and the Abstract Path Ordering”, *Theoretical Computer Science* **464**, pp. 35–47, 2012 (available at <http://nachum.org/papers/Toyama.pdf>).

whereas tripartite has

$$CB \subseteq A\{A|B|C\}^*$$

instead.

Sadly, we cannot have the best of both worlds. Let's color edges A , B , and C with (solid) azure, (dotted) black, and (dashed) crimson ink, respectively. The graph



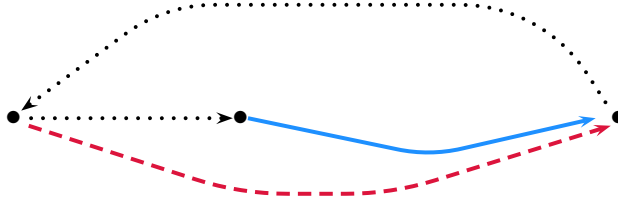
only has multicolored loops despite satisfying

$$\begin{aligned} \{B|C\}A &\subseteq C \\ CB &\subseteq A \cup B\{B|C\}^* . \end{aligned}$$

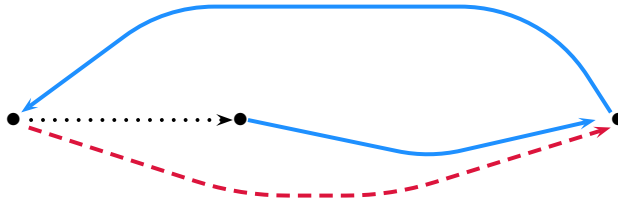
Even

$$\begin{aligned} \{B|C\}A &\subseteq C \\ CB &\subseteq B\{A|B\}^* \end{aligned}$$

doesn't work. To wit, the double loop in



harbors no monochrome subchain. By the same token,



counters the putative hypothesis

$$\begin{aligned} BA \cup CB &\subseteq C \\ CA &\subseteq BA^* . \end{aligned}$$